

(Public) Penalty Project Report Fact Sheet

Summary: User-specified criteria return a list of enforcement actions that have either Supplemental Environmental Projects (SEPs) or Compliance Projects (CPs) associated with them. The project counts are hyperlinks to the project details. This report contains data from both CIWQS and SMARTS.

Using the Report (Navigation)

LOCATION

This report is located under the Run Reports link in CIWQS under the Enforcement heading. It is available to the public at:
http://www.waterboards.ca.gov/water_issues/programs/ciwqs/publicreports.shtml.

SEARCH CRITERIA

Region, Program, Status, and County are “pick lists.” They display several items and have scroll bars on the right side. A pick list allows the user to select multiple values. Hold down the Ctrl key and left click to select multiple values in any order you choose. Hold down the Shift key and left click to select everything between two values. Hold down Ctrl and left click to deselect.

Facility Name and Related Party Name are free text fields. They are not case sensitive and contain an implicit wildcard search. For example, entering “san” in the city field will return values that include San Diego, Santa Fe Springs, and Pleasanton.

The date range boxes are text boxes that require the format MM/DD/YYYY (e.g., 01/08/2007 for January 8, 2007). The date range defaults to the current calendar year. The user can click on the calendar icon on the right to select a value with the mouse rather than typing it.

The final gray button, which is called “Run Report,” starts the query.

HYPERLINKS

At each level of detail, the user has the option of viewing a printer friendly version. If the user clicks on the “Export this Report to Excel” link, both levels of the report are exported into one spreadsheet. At the summary level, the export shows both levels for all enforcement actions shown. At the detailed level, it shows both levels for only the enforcement action selected. If an enforcement action has multiple projects, multiple rows will be displayed in the export.

The user may choose to “Refine Search,” which returns the user to the summary search screen with the original search criteria retained, or to conduct a “New Search,” which takes the user to the search page with the original values cleared. “Glossary” link takes the user to a list of terms found in public reports.

The first level of the Penalty Project report defaults to 25 rows per screen but the user can select up to 100 records using the dropdown located on the bottom right of the page. The user can navigate from page to page by clicking “Next,” “Previous,” or the page number links.

“Back to Main Page” at the bottom, takes the user to the Run Reports page. The At the second level of the report, the project details, the user can click on the link “Go Back to List of Enforcement Actions,” which takes the user back to the first level of the report.

Summary Search Criteria

- Region
- Program
- Status
- Facility Name
- Related Party Name
(Person or Organization)
- County
- Date Range

Penalty Project Report

- Enforcement Action ID
- Region
- Agency
- Facility
- Enforcement Type
- Enforcement Action Order
Number
- Enforcement Action
Status
- Program
- Effective Date
- Addresses MMP?
- Number of Projects

Columns can be sorted by clicking on the header. Clicking on the same heading twice will sort in reverse. If multiple pages are returned, these headings sort across all pages (i.e., if there are 300 records returned, all 300 records will be sorted even though there maybe be 12 pages).

In the first level of the report, the Enforcement Action ID drills into the record (for CIWQS records only), the Facility Name is a link to the Facility At-A-Glance report, and the Number of Projects column drills down to the project details. Where multiple values exist, that field will have a hyperlink that opens a popup showing all values.

How current is the data?

This report is on a nightly refresh schedule, which means that changes made in CIWQS or SMARTS will not be reflected until the next day. Reports are constructed from all entered data as of the close of business the previous day. If the refresh fails, the data in the report will be stale. Please notify the CIWQS Help Center (866)-792-4977 or ciwqs@waterboards.ca.gov if you believe a report has not been refreshed.

Where is this information coming from?

PENALTY PROJECT REPORT

Enforcement Action ID – the Regulatory Measure ID of the enforcement action. This is a unique identifier. In SMARTS, this identifier can be found under the Enforcement tab and is displayed in the report with a leading “S.”

Region – the party related to the enforcement action regulatory measure with the role of “regulating” (Related Parties tab). In SMARTS, this is found under the Site Info tab.

Agency – the party related to the enforcement action regulatory measure with the role of “Discharger” (Related Parties tab). In SMARTS, this is the owner (CONSTW) or the operator (INDSTW).

Facility – the facility or child of facility with the role of “regulated by” (Related Places tab). In SMARTS, the Facility Name is found under the Site Info tab.

Enforcement Type – this is the regulatory measure type of the enforcement action (General Info tab). In SMARTS, this is the Enforcement Action Type found in the applicable record under the Enforcement tab.

Enforcement Action Order Number – the order number of the enforcement action regulatory measure (General Info tab). In SMARTS, this is the Order/Resolution Number related to the applicable record under the Enforcement tab.

Enforcement Action Status - the status of the enforcement action regulatory measure (General Info tab). In SMARTS, this is the status related to the applicable record under the Enforcement tab.

Program – the program(s) associated with the enforcement action regulatory measure (General Info tab). In SMARTS, this is read-only field in the header called “Business Type.”

Effective Date – the effective date of the enforcement action regulatory measure (General Info tab). In SMARTS, this is the issuance date found in the applicable enforcement record under the Enforcement tab.

Addresses MMP? – this field in the report is a “Y” if the “Addresses an MMP?” radio button in the project is “Yes” and is “N” when it’s “No.” (Enforcement Tab – Discharger Projects and Liabilities). In SMARTS, this is a dropdown found in the project or liability.

Number of Projects – in both CIWQS and SMARTS, this is a count of projects with the type of SEP or CP, linked to the enforcement action

Detail Report

- Project Name
- Project Type
- Project Description
- Project Total Amount
- Project Pending Amount
- Project Completed Amount
- Project Due Date
- Project Completed Date

Report Facts

- Exported version contains both levels of data within one spreadsheet
- A future enhancement will have the Order field link to the PDF files.

PROJECT DETAILS

NOTE: the following fields are all found within a specific project. In CIWQS, project info can be found under the Discharger Projects and Liabilities section of the enforcement Tab. In SMARTS, project information is found under the Enforcement tab by selecting the applicable record and then clicking on the Projects/Liabilities link to open up the details. Differences in where the data is being pulled from SMARTS are noted below.

Project Name – this is from the name field in the project

Project Type – this is the type field in the project. This report only displays Supplemental Environmental Projects (SEP) and Compliance Projects (CP). In SMARTS, it is the “subtype.”

Project Description – this is the description field in the project

Project Total Amount – this is a calculated field that sums the milestone amounts of all the milestones in the project that have a status of “Complete” or “Not Completed” and should match the value under “Total Assessment(\$)” in the record.

Project Pending Amount - this is a calculated field and is the difference between the milestone amounts and the spent amounts

Project Completed Amount - this is a calculated field that sums the spent amounts of all the milestones

Project Due Date – in both CIWQS and SMARTS, this is the maximum due date (farthest into the future) of all of the milestones associated with the project

Project Completed Date – in both CIWQS and SMARTS, this is the maximum actual date (farthest into the future) of all of the milestones associated with the project. If the report displays “none,” there are one or more milestones that do not have an actual date.

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